

# LogDoctor: an open and decentralized worker-centered solution for occupational management in healthcare

Sami Barrit, Alexandre Niset

# ► To cite this version:

Sami Barrit, Alexandre Niset. LogDoctor: an open and decentralized worker-centered solution for occupational management in healthcare. 2023. hal-04072306

# HAL Id: hal-04072306 https://hal.science/hal-04072306

Preprint submitted on 13 May 2023

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

# LogDoctor: an open and decentralized worker-centered solution for occupational management in healthcare

#### 💿 Sami Barrit

Neurochirurgie, Université Libre de Bruxelles, Belgium Sciences Chirurgicales, Université Paris-Est Créteil, France Sciense, New York, United States sami@sciense.org

#### **Alexandre Niset**

Médecine d'Urgence, Université Catholique de Louvain, Belgium Sciense, New York, United States

May 13, 2023

**Abstract.** Occupational stress among health workers is a pervasive issue that affects individual well-being, patient care quality, and healthcare systems' sustainability. Current time-tracking solutions are mostly employer-driven, neglecting the unique requirements of health workers. In turn, we propose an open and decentralized worker-centered solution that leverages machine intelligence for occupational health and safety monitoring. Its robust technological stack, including blockchain technology and machine learning, ensures compliance with legal frameworks for data protection and working time regulations, while a decentralized autonomous organization bolsters distributed governance. To tackle implementation challenges, we employ a scalable, interoperable, and modular architecture while engaging diverse stakeholders through open beta testing and pilot programs. By bridging an unaddressed technological gap in healthcare, this approach offers a unique opportunity to incentivize user adoption and align stakeholders' interests. We aim to empower health workers to take control of their time, valorize their work, and safeguard their health while enhancing the care of their patients.

#### 1 Background

Work-related stress is a long-standing and widespread public health issue [1], associated with inadequate working conditions [2] due to structural failures [3]. Health workers are particularly exposed due to common resource-constrained [4] and crisis settings [5], as well as the inherent physical and emotional burdens of caregiving [6]. Primary missions such as caring, education, and research are often impeded by cost-cutting measures reducing supportive resources and the increasing administrative burden from medical liability documentation. These mismatches between resources and capacities result in work overload [7] [8] [9], job dissatisfaction [10], and work-life imbalance [11].

On a personal level, this leads to loss of health and life due to burnout, depression, suicide, stroke, and cardiovascular diseases [12] [13] [14] [15]. On a collective level, it compromises the population's safety by undermining the quality of care [16] and contributes to the brain drain of healthcare professionals and staff shortages, creating a self-reinforcing

cycle [11]. This growing issue threatens both the human and the economic sustainability of healthcare systems [17] [18].

Various institutional, national, and supranational initiatives have been undertaken to tackle this challenge [19] [20]. However, even legal regulations have shown their limits in changing poor working conditions in healthcare [21] [22] [23] [24], partly due to the shortcomings of most working time recording systems. These employer-driven solutions focus on shift planning, rostering, and billing but are not designed to ease time tracking for health workers or ensure accurate activity tracking and safety monitoring [25] [26] [27]. Additionally, the recordings may be subject to opaque management and manipulation, further exacerbating the issue, as they are particularly exposed to peer, hierarchical, and socio-cultural pressures [28].

Here, we propose an open and decentralized worker-centered solution utilizing machine intelligence. Our objective is to provide an empowering solution for health workers to take control of their time, valorize their work, enhance their capacity, and safeguard their health and that of their patients despite socio-cultural or economic pressures.

# 2 Conceptual framework

The input consists of multimodal data provided by the health worker, employer, or an approved third party. Data processing occurs within a multilayered approach, with the health worker retaining control and validating the processed data for a specific period. Following validation, a transaction is recorded on an open ledger, ensuring the immutability of the validated data. The output consists of multi-level reports and real-time monitoring tools. Feedback loops continuously enhance data processing through machine intelligence.



Figure 1: The conceptual framework

# 2.1 Input: multimodal data

The multimodal data input encompasses a combination of fixed attributes set for predetermined periods (e.g., gross hourly wage) and dynamic information. This dynamic information consists of individual tracking data with collective intelligence insights captured continuously. Timing inputs can be entered synchronously or asynchronously relative to the tracked event, either as a schedule or record.

	provider	timing	user friction	resilience*	infrastructure requirement
manual completion	worker or employer	async	high	low	low
physical badging	worker	sync	mid	mid to high	mid to high
digital log	worker	sync	low to mid	mid	low to mid
geofencing smart contact tracing	process	sync	low	high	low to mid

Table 1: Examples of timing input modalities with their features.

\* resilience as the potential ability to withstand internal and external influences.

Timing inputs fall into one of several predefined mutually exclusive states (e.g., "at work," "available," or "on leave"). Indicators can be used to label or tag these inputs. Labels are predefined standardized sub-options tailored for collective needs (e.g., "care," "education," "research," and "administrative" for the "at work" state; "on-call" and "second-line" for the "available" state; "annual leave," "scientific leave," or "sickness leave" for the "on leave" state). Tags are free-form keywords defined by individual users for their specific needs. Additionally, indicators include sporadic event tracking, which can be either user-driven (e.g., incident reporting) or solution-driven (e.g., mood monitoring).

#### 2.2 Process: a multi-layered approach

Input integration employs a tiered approach that combines various layers of timing data, such as schedules, time tracking, and records, along with complementary indicators. These layers serve as safety nets for missing information and can be tailored or deemed obligatory in accordance with specific needs or legal requirements.



Figure 2: The multilayered approach for multimodal data integration enhanced by machine intelligence.

To address conflicting information between these layers, the solution offers four automated resolution options: union, intersection, superseding layer, or a machine learning (ML) forecast.



\* recovered missing working period; \* detected lunch pause.

Figure 3: Examples of timing conflicts resolution approaches

Health workers have the ability to review and validate their time recordings on a punctual basis. Optionally, they can place the validated recording on hold for an approval period, allowing employers or authorized third parties to review it. In case of an unresolved dispute, the pending litigations are described in the final report. This report is then securely and immutably recorded on the blockchain.

# 2.3 Output: multi-level reporting

At the individual level, a dashboard displays time tracking, wage estimates, occupational safety, health monitoring, and legal compliance metrics. Users can generate on-demand static reports to summarize validated data for a specified period with automated email reports. At the collective level, the solution provides overview reports based on geographic or organizational criteria, such as institutional, local, or global levels. These collective reports are made openly accessible on a dedicated platform for all stakeholders and the general public.

Work	Safety	Wellbeing	Wage
working time	shift durations	mood tracker	salary calculator
overtime	resting time	burnout index	extra pay
unsociable hours	legal compliance	job satisfaction	salary comparisons
activity tracking	commute risk	incident reporting	professional expenses

Table 2: Examples of insights, metrics, indices, and alerts of interest.

# 3 Governance

A decentralized autonomous organization (DAO) [29] [30] [31] facilitates distributed governance, enabling all stakeholders to participate equitably in decision-making and oversight of operations. Governance tokens act as a voting mechanism, featuring dynamic supply adjustments to prevent single-entity dominance [32]. Token distribution considers the varying sizes and influences of participants and allows individuals to delegate their voting power to trusted organizations. Sybil attacks – i.e., fraudulent creation of multiple accounts for increased voting power – are prevented by identification and authentication processes for user verification with rewarding protocols relying on proof of attendance, effort, and contribution [33]. SubDAOs, functioning as independent DAOs within the larger organization, cater to specific endeavors. Developers can collaborate to address challenges such as feature development, technological enhancement, solution maintenance, and security monitoring. Besides, local stakeholders from specific regions or institutions can organize daily operations, dispute resolution, and policymaking, as well as request customizations to meet their unique requirements. This structure complements the delegation system.



Figure 4: Example of a DAO-subDAO structure for synergistic yet independent working groups.

# 4 Legal frameworks

The solution ensures compliance with governmental working time regulations and specific recommendations by generating derived metrics for informing health workers and stakeholders. In terms of data protection, it upholds privacy and security best practices. Key principles, such as purpose and storage limitations, are observed by processing data solely for its intended use and retaining it only for the necessary duration. Additionally, data minimization, pseudonymization, and encryption techniques are employed. Sharing aggregated personal data is contingent upon obtaining explicit user consent. Secure machine intelligence protocols, including federated learning, are incorporated to guarantee user privacy and data security, addressing related legal concerns.

# 5 Technological stack

The stack utilizes accessible open-source tools in a decentralized framework, promoting transparency and collaborative development. Crowdsourcing approaches engage various perspectives and innovations, bolstering the system's flexibility, adaptability, and versatility. Machine learning further empowers the solution to improve its capabilities continually in response to evolving user needs.

#### 5.1 Versatile architecture

This robust, distributed, and privacy-preserving technological stack integrates multi-factor authentication, end-to-end encryption, blockchain technology, and machine intelligence. Users authenticate their identities using mobile phonebased two-factor authentication via a decentralized authentication protocol [34] with electronic identification and signature. Data transmission security is ensured through end-to-end encryption, safeguarding sensitive information by allowing access exclusively to intended recipients. Blockchain technology offers a decentralized, transparent platform for data storage and sharing, guaranteeing data immutability, trusted timestamping, and tamper resistance. Furthermore, state-of-the-art blockchains feature Turing-complete smart contract capabilities, extensive decentralized applications ecosystems, and native interoperability. These blockchains provide virtual machines, enabling the execution of complex, logic-based smart contracts and applications designed to address the wide range of our use cases and requirements [29] [35].

#### 5.2 Machine intelligence

Recurrent neural networks with long short-term memory units effectively analyze sequential data patterns, such as timing input resolution, work schedule optimization but also burnout prevention, and mood monitoring through sentiment analysis [36]. Anomaly detection identifies potential issues like overwork, resource misuse, and legal compliance violations [37]. Additionally, convolutional neural networks complement these applications by managing image recognition and processing tasks involving multidimensional data, such as user interaction patterns, behavioral signals, and image-based input processing [38]. Adhering to the decentralized approach and privacy concerns, federated learning provides a secure and privacy-preserving method for analyzing aggregated user data. This technique enables the processing of individual data locally on the user's device or through anonymization and encryption before transmission, allowing global models to be trained without sharing identifiable raw data. Customized deep-learning models can be developed using available open-source frameworks suited to the solution's requirements [39] [40] [41] [42].

## 6 Implementation

The solution's rollout requires addressing technical deployment challenges while promoting early adoption among users and stakeholders. To this end, we aim to capitalize on its agile infrastructure and innovative approach, tackling an unaddressed but widespread and longstanding issue in healthcare.

## 6.1 Technical deployment

Scalability is essential for accommodating an increasing user base and extending features. A modular architecture, employing microservices that integrate cloud and on-premises open-source software, can facilitate efficient scaling and performance while meeting user-specific needs and requirements. Interoperability, through standardized data exchange protocols, enables seamless integration with existing health information systems and time-tracking platforms. We propose a simplified time-tracking file in CSV format as a standard.

Fields	Description
location	latitude and longitude as decimal numbers
employer	unique ID of employer
start/end_date	the date when the tracked activity begin- s/ends, in the format YYYY-MM-DD
start/end_time	the time when the tracked activity begin- s/ends, in the format HH:MM:SS
state/label/tag	according to customized lists

Table 3: Examples of standardized fields and values for activity tracking

Cloud-based processes and cross-platform compatibility further enhance the solution's versatility. Customization, enabled by adaptable application programming interfaces (APIs), permits tailoring the solution to particular needs. Security must be maintained by thorough testing, periodic audits, and ongoing monitoring to protect sensitive data and ensure privacy regulation compliance. A dedicated subDAO will oversee these endeavors supported by the open-source community.

# 6.2 Early adoption

This solution bridges a technological gap in healthcare, addressing a critical unmet need for health workers by offering a versatile platform for individual and collective insights into occupational management. To promote early adoption, we will target individual users, particularly showcasing the platform's features and benefits for individuals. Creating momentum, we aim to incentivize diverse stakeholders to onboard subsequently, fully leveraging the potential of the platform. Moreover, open beta testing with pilot programs will provide real-world validation and insights for refining the solution, demonstrating its viability, and encouraging further adoption and retention. Engaging stakeholders, such as health workers' associations, governmental organizations, and healthcare employers, is essential for broad acceptance and impact. Along these lines, distributed and transparent governance aims to align often conflicting interests by maintaining the priority on improving health workers' lives and working conditions. Stakeholder engagement through workshops and seminars will foster shared ownership and address diverse perspectives. Coupled with incentivization via gamification and reward systems, this approach will motivate active engagement, contributing to long-term success. Additionally, providing training and support will empower users to effectively navigate the solution, ensuring maximum utility and benefits for all parties involved.

# 7 Conclusion

We have introduced a comprehensive worker-centered solution to support health workers facing pressing issues of safety, well-being, and productivity. By harnessing machine intelligence, this solution integrates multimodal data through a multilayered approach, enhancing user experience. Blockchain technology enables distributed governance and transparency, fostering effective cooperation among stakeholders. We have demonstrated the technology's readiness for deployment in compliance with the most stringent openness, decentralization, and privacy-preserving standards. Overcoming implementation hurdles is critical to realizing the solution's full potential and delivering a lasting, transformative impact on public health. This solution can bridge an unaddressed technological gap in healthcare, offering a unique opportunity to incentivize health workers' engagement and stakeholders' alignment in developing occupational management for better care.

# Acknowledgements

We express our gratitude to Maaz and Syed Ali Shahbaz from Timecrypt for our collaboration on this project. Additionally, we extend our thanks to the Belgian junior doctors from la Délégation des Médecins Francophones en Formation for their diligent alpha testing of the prototype app and their critical insights.

# References

- [1] Stavroula Leka et al. *Work organisation and stress: systematic problem approaches for employers, managers and trade union representatives.* World Health Organization, 2003.
- [2] Lawrence R Murphy. "Occupational stress management: Current status and future directions". In: *Journal of Organizational Behavior (1986-1998)* (1995), p. 1.
- [3] Christian Pross and Sonja Schweitzer. "The culture of organizations dealing with trauma: Sources of work-related stress and conflict". In: *Traumatology* 16.4 (2010), pp. 97–108.
- [4] S. M. Sauter. "STRESS...At Work". In: Publication No. 99-101 (1999).
- [5] Kriti Prasad et al. "Prevalence and correlates of stress and burnout among US healthcare workers during the COVID-19 pandemic: A national cross-sectional survey study". In: *EClinicalMedicine* 35 (2021), p. 100879.
- [6] Mariné A. Ruotsalainen J Verbeek J. and Serra C. "Preventing occupational stress in healthcare workers". In: *The Cochrane database of systematic reviews* (11 Nov. 2014). ISSN: 1469-493X. DOI: 10.1002/14651858. CD002892.PUB3. URL: https://pubmed.ncbi.nlm.nih.gov/25391582/.
- [7] Nurit Nirel et al. "Stress, work overload, burnout, and satisfaction among paramedics in Israel". In: *Prehospital and disaster medicine* 23.6 (2008), pp. 537–546.
- [8] Natalie Skinner and Barbara Pocock. "Work—life conflict: Is work time or work overload more important?" In: *Asia Pacific Journal of Human Resources* 46.3 (2008), pp. 303–315.
- [9] Susan Michie. "Causes and management of stress at work". In: *Occupational and environmental medicine* 59.1 (2002), pp. 67–72.
- [10] Linda H. Aiken et al. "Hospital nurse staffing and patient mortality, nurse burnout, and job dissatisfaction". In: JAMA 288.16 (Oct. 2002), pp. 1987–1993. ISSN: 0098-7484. DOI: 10.1001/JAMA.288.16.1987.
- [11] Niamh Humphries et al. "Hospital doctors in Ireland and the struggle for work–life balance". In: *European Journal of Public Health* 30.Supplement\_4 (2020), pp. iv32–iv35.
- [12] Birgit A Greiner et al. "Occupational stressors and hypertension: a multi-method study using observer-based job analysis and self-reports in urban transit operators". In: *Social Science & Medicine* 59.5 (2004), pp. 1081–1094.
- [13] Maria R. Huerta F. et al. "Effects of occupational stress on the gastrointestinal tract". In: World journal of gastrointestinal pathophysiology 4.4 (2013), p. 108.
- [14] D Ferguson. "A study of occupational stress and health". In: Ergonomics 16.5 (1973), pp. 649–664.
- [15] Andrew Steptoe and Mika Kivimäki. "Stress and cardiovascular disease: an update on current knowledge". In: Annual review of public health 34 (2013), pp. 337–354. ISSN: 1545-2093. DOI: 10.1146/ANNUREV – PUBLHEALTH-031912-114452. URL: https://pubmed.ncbi.nlm.nih.gov/23297662/.
- [16] Eleni Moustaka and Theodoros C Constantinidis. "Sources and effects of work-related stress in nursing". In: *Health science journal* 4.4 (2010), p. 210.
- [17] Anthony Montgomery and Olga Lainidi. "Creating healthy workplaces in healthcare: Are we delaying progress by focusing on what we can do rather than what we should do?" In: *Frontiers in Public Health* 11 (2023). DOI: 10.3389/fpubh.2023.1105009.
- [18] Beata Pawełczyk-Cnudde et al. "Stress at Work: Its Impact on Sustainable Growth". In: Kwartalnik Kolegium Ekonomiczno-Społecznego "Studia i Prace" 33.1 (2018), pp. 107–128.

- [19] Kim J Burchiel et al. "The 2017 ACGME common work hour standards: Promoting physician learning and professional development in a safe, humane environment". In: *Journal of graduate medical education* 9.6 (2017), pp. 692–696.
- [20] Kristina Sundberg et al. "The Swedish duty hour enigma". In: BMC Medical Education 14 (2014), pp. 1–7.
- [21] Rachel T Clarke et al. "UK doctors' views on the implementation of the European Working Time Directive as applied to medical practice: a qualitative analysis". In: *BMJ Open* 4.2 (2014). ISSN: 2044-6055. DOI: 10.1136/bmjopen-2013-004390. URL: https://bmjopen.bmj.com/content/4/2/e004390.
- [22] B Cresswell et al. "Optimising working hours to provide quality in training and patient safety: a position statement by the Association of Surgeons in Training. Royal College of Surgeons". In: *Royal College of Surgeons of England* (2009).
- [23] MJ Tait et al. "Current neurosurgical trainees' perception of the European Working Time Directive and shift work". In: *British journal of neurosurgery* 22.1 (2008), pp. 28–31.
- [24] Elad Machtey, Jochen Ehrich, and Eli Somekh. "Regulations of night shifts of pediatric residents: review of responses to a European survey". In: *The Journal of pediatrics* 201 (2018), pp. 302–303.
- [25] StaffPlanner. 2023. URL: https://www.staffplanner.eu/.
- [26] Organize Physicians' Schedules More Easily Petal. 2023. URL: https://www.petal-health.com/enus/orchestrator-platform/organize-physicians-schedules-more-easily.
- [27] Tribe Planner. 2023. URL: https://www.tobahrsolutions.be/en-be/tribe-planner.
- [28] Tung X. Bui and Ralph H. Sprague Jr., eds. 49th Hawaii International Conference on System Sciences, HICSS 2016, Koloa, HI, USA, January 5-8, 2016. IEEE, 2016. ISBN: 978-0-7695-5670-3. URL: http://ieeexplore.ieee.org/xpl/mostRecentIssue.jsp?punumber=7426593.
- [29] Ethereum Whitepaper ethereum.org. 2023. URL: https://ethereum.org/en/whitepaper/.
- [30] Eric Glen Weyl, Puja Ohlhaver, and Vitalik Buterin. "Decentralized Society: Finding Web3's Soul". In: SSRN Electronic Journal (May 2022). DOI: 10.2139/SSRN.4105763. URL: https://papers.ssrn.com/ abstract=4105763.
- [31] Christoph Jentzsch. "Decentralized autonomous organization to automate governance". In: *White paper, November* (2016).
- [32] Aristophanes. Plutus. 408 BCE. URL: https://en.wikisource.org/wiki/Aristophanes: \_The \_ Eleven\_Comedies/Plutus.
- [33] Sujith Sizon and Jagadish Nayak. "Decentralized Proof of Attendance Protocol". In: (Dec. 2018). DOI: 10. 13140/RG.2.2.35501.95206.
- [34] Kristina Yasuda et al. "OpenID for Verifiable Credentials A Shift in the Trust Model Brought by Verifiable Credentials". In: (2022). URL: https://www.iso.org/committee/45144.html.
- [35] Gavin wood. "Polkadot: Vision for a heterogenous multi-chain framework draft 1". In: White paper (2016).
- [36] Sepp Hochreiter and Jürgen Schmidhuber. "Long Short-Term Memory". In: *Neural Computation* 9.8 (Nov. 1997), pp. 1735–1780. ISSN: 0899-7667. DOI: 10.1162/neco.1997.9.8.1735.
- [37] Varun Chandola. "Anomaly Detection : A Survey". In: ACM Computing Surveys (2009).
- [38] Rikiya Yamashita et al. "Convolutional neural networks: an overview and application in radiology". In: *Insights into Imaging* 9 (4 Aug. 2018), pp. 611–629. ISSN: 18694101. DOI: 10.1007/S13244-018-0639-9/FIGURES/15. URL: https://insightsimaging.springeropen.com/articles/10.1007/s13244-018-0639-9.
- [39] Martin Abadi et al. *TensorFlow: Large-Scale Machine Learning on Heterogeneous Systems*. Software available from tensorflow.org. 2015. URL: http://tensorflow.org/.
- [40] Théo Ryffel et al. "A generic framework for privacy preserving deep learning". In: CoRR abs/1811.04017 (2018). arXiv: 1811.04017. URL: http://arxiv.org/abs/1811.04017.

- [41] Kallista A. Bonawitz et al. "Towards Federated Learning at Scale: System Design". In: CoRR abs/1902.01046 (2019). arXiv: 1902.01046. URL: http://arxiv.org/abs/1902.01046.
- [42] Adam Paszke et al. "PyTorch: An Imperative Style, High-Performance Deep Learning Library". In: *CoRR* abs/1912.01703 (2019). arXiv: 1912.01703. URL: http://arxiv.org/abs/1912.01703.